Minutes of the Governance, Compliance and Ethics Committee meeting of the Board of Managers of the Dallas County Hospital District, held Wednesday, May 7th, 2025, scheduled for 11:00 a.m. in the WISH Building Executive Conference Room (02-175) at Parkland Health and via WebEx. These minutes do not constitute a certified agenda from the meeting.

I. Attendance and Call to Order

Committee Chair Reina Gonzalez, JD, noted that a quorum was physically present and called the meeting to order at 11:01 a.m. Committee members; Lisa Sutter, Byron Cryer, MD, Yasmin Simon, JD, Ramon Miguez, PE, and Eric G. Bing, MD, PhD, attended in-person.

Board Member Attendees Included: Vincent Hall, Todd Furniss, Marjorie Petty, JD.

Staff Present (via Webex and in-person) included:

Donna Richardson, EVP & CNE
Edmundo Castaneda, EVP & COO
Felicia Miller, EVP & Chief Talent Officer
Jessica Hernandez, SVP & Chief DEI Officer
Mary Lea Holmes, VP & Compliance Oversight
Melanie Roberts, SVP/Chief Compliance & Ethics Officer
Morgan Simmons, Governance Program Coordinator
Roshan Thayil, Sr. Director Compliance Programs
Shana Garrett, Director Revenue Cycle Comp
Shari Singleton, Dir., Compliance Investigations
Saul Cordero, SVP & Chief Governance & Strategy Officer
Steve Roth, EVP & Chief Legal Officer
Tara DeAndrea, Dir., Compliance program Ops

Other Attendees:
Lisa Taylor- Ankura Audit

II. Mission Statement

Committee Chair began the meeting by stating the mission statement:
Advance Wellness | Relieve Suffering | Develop and Educate

III. Public Comments Regarding Agenda Items

No members of the public registered for comments regarding the agenda items.

IV. Conflicts of Interest

After review of the agenda, the Chair noted zero conflicts of interest for Board Members present.

V. Reports requiring Deliberation(s) or Possible Action

A. Discussion Regarding Board & Compliance Committee Attendance

Saul Cordero introduced the topic of Board Members' and Staff's attendance at Board and committee meetings for deliberation. Saul mentioned that all Board Members are welcome and allowed to attend committee meetings of their choice, aside from their own committees. Board Members are not required to attend committees other than the ones they serve on; it is fully up to their discretion. Saul mentioned that Management and Staff attendance is delegated to and decided by Dr. Cerise (CEO of Parkland). Saul then opened this item for discussion by the Board.

The Board discussed and deliberated this item in detail.

Lisa Sutter made the initial motion with help from Ramon Miguez on the phrasing.
Ramon Miguez made the motion to approve the staff attendance at Board and Committee meetings. The committee chair will have the right to request the presence of specific or additional staff members, although ultimately, the decision as to who attends both committee and board meetings with regard to staff members belongs to the individual and only employee of the Board, which is the CEO of Parkland Health.
This motion was seconded by Lisa Sutter.

An amendment was proposed to the initial motion. Reina Gonzalez stated that the CEO has the right and obligation to invite whoever he thinks is appropriate to the meeting.

This amendment was accepted. The motion passed.

B. Presentation of the 2025 Compliance and Ethics Program Functions Assessment Executive Summary by Ankura

Melanie Roberts introduced Lisa Taylor from Ankura, who presented the executive summary for the Compliance department audit. Lisa mentioned there were 335 documents reviewed from the Compliance program as part of this assessment process. There were interviews with 33 leaders and managers from the department. As a result of the audit, there are detailed recommendations for enhancing effectiveness.
A majority of the findings were ranked very low in risk, while only a few were ranked moderate risk, and none were ranked high risk. Lisa Taylor was very complimentary towards Parklands Compliance Department and thanked them for their willingness to help and comply throughout the audit process.

VI. Executive Session

At 11:53 a.m., the Committee went into executive session to discuss the items on the Executive Session agenda.

Members of management were excused from the discussion of topics as necessary.

A. Receive Report from Chief Compliance and Ethics Officer regarding the 2025 Compliance and Ethics Program Functions Assessment Conducted by Ankura
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occ. Code §160.007)

B. Review and Potential Discussion on the Following Compliance and Ethics Reports:
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)

i. FY25 Compliance Program Assessments
ii. FY25 Compliance Risk Assessment & Enterprise Risk Management Survey
iii. 2025 Bi-Annual ONE Survey with Culture of Compliance Questions
iv. FY25 Compliance and Ethics Training
v. FY25 YTD Q2 Investigations Unit Dashboard Excerpt – Substantiated Case Reviews
vi. Health Care Compliance Association (HCCA) Chief Compliance Officer Roundtable Event

C. Review and Discussion of Quarterly Report on Open Compliance Reviews and Audit Reports
(Tex. Gov't Code §551.071)

D. Institutional Ethics Committee Report
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)

Committee Chair Reina Gonzalez, JD, adjourned the Executive Session and moved into Open Session at 12:31 p.m.

VII. Adjourn

With no further business to discuss, Committee Chair Reina Gonzalez, JD, adjourned the meeting at 12:33 p.m.

SO RECORDED:

Reina Gonzalez
Reina Gonzalez (Jun 28, 2025 08:35 CDT)
Reina Gonzalez, JD
Committee Chair

Steven Roth
Steven Roth (Jul 14, 2025 16:14 CDT)
Steve Roth, JD, MPH
Assistant Secretary