Minutes of the Governance, Compliance and Ethics Committee meeting of the Board of Managers of the Dallas County Hospital District, held Wednesday, February 5th, 2024, scheduled for 11:00 a.m. in the WISH Building Executive Conference Room (02-175) at Parkland Health and via WebEx. These minutes do not constitute a certified agenda from the meeting.

I. Attendance and Call to Order

Committee Chair Reina Gonzalez, JD, noted that a quorum was physically present and called the meeting to order at 11:01 a.m. Committee members; Lisa Sutter, Ramon Miguez, and Eric G. Bing, MD, PhD, attended in-person.

Board Member Attendees Included: Vincent Hall, Farida Minner, RN, and Marjorie Petty, JD.

Staff Present (via Webex and in-person) included:

Donna Richardson, EVP & CNE
Edmundo Castaneda, EVP & COO
Felicia Miller, EVP & Chief Talent Officer
James Orrison, Administrative Resident
Jessica Hernandez, SVP & Chief DEI Officer
Mary Lea Holmes, VP & Compliance Oversight
Melanie Roberts, SVP/Chief Compliance & Ethics Officer
Morgan Simmons, Governance Program Coordinator
Roshan Thayil, Sr. Director Compliance Programs
Shana Garrett, Director Revenue Cycle Comp
Saul Cordero, SVP & Chief Governance & Strategy Officer
Steve Roth, EVP & Chief Legal Officer

Other Attendees:
Lisa Taylor- Ankura Audit

II. Mission Statement

Committee Chair began the meeting by stating the mission statement:
Advance Wellness | Relieve Suffering | Develop and Educate

III. Public Comments Regarding Agenda Items

No members of the public registered for comments regarding the agenda items.

IV. Conflicts of Interest

After review of the agenda, the Chair noted zero conflicts of interest for Board Members present.

V. Reports requiring Deliberation(s) or Possible Action

A. Brief Introduction to the 2025 Compliance and Ethics Program Functions Assessed by Lisa Taylor from Ankura

Melanie Roberts introduced Lisa Taylor who attended the meeting from Ankura Auditing Firm. Ankura is currently engaged at Parkland to fulfill the GCEC charter requirement for an outside executive review of the compliance and ethics program.

Lisa Taylor introduced herself and the rest of her team, who will be completing this audit of the compliance and ethics program. Lisa reviewed a high-level overview of the Ankura approach to the compliance program assessment. Lisa mentioned that Ankura will assist Parkland in executing the company's overall mission and strategic objectives by measuring, prioritizing, and mitigating risk through compliance priorities. This assessment will occur over a three-year period. Lisa also reviewed a high-level timeline of the activities occurring to assess the compliance program over the first 12 weeks.

B. Deliberation and Possible Action of Proposed Changes to Parkland Policy SYS.LEG.07 Records and Information Management

Melanie introduced the next topic regarding proposed changes to Parkland's SYS.LEG.07 Policy regarding records and information management. Steve Roth presented this item. Steve reviewed the policy and reminded the Board of its purpose, which is to oversee all records and information for the organization. The changes to the document, which include clarifying the overall scope, were minor.

Steve requested a motion from the Board regarding this policy. Ramon Miguez motioned for approval. Lisa Sutter seconded the motion. The motion carried unanimously.

VI. Executive Session

At 11:12 a.m., the Committee went into executive session to discuss the items on the Executive Session agenda.

Members of management were excused from the discussion of topics as necessary.

A. Compliance and Ethics Report
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)

i. Accounting of Disclosures (AoD)
PHI Inventory Project Status
ii. Conflicts of Interest Program Activities
iii. Compliance Program Evaluations
iv. Code of Conduct and Ethics Revisions
v. FY24/25 Compliance Program Development Initiatives & Annual Work Plan Updates:
    a. Nursing Home Compliance Oversight
    b. HRSA Program(s) Compliance Oversight

B. Attorney-Client Privileged & Confidential Quarterly Report of Open Compliance Reviews
(Tex. Gov't Code §551.071)

C. Discussion Regarding Report and/or Information Provided by the Chief Compliance & Ethics Officer and Related Items on this Agenda (Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)

Committee Chair Reina Gonzalez, JD, adjourned the Executive Session and moved into Open Session at 11:56 a.m.

VII. Adjourn

With no further business to discuss, Committee Chair Reina Gonzalez, JD, adjourned the meeting at 11:56 a.m.

SO RECORDED:

Reina Gonzalez
Reina Gonzalez (Apr 7, 2025 20:31 CDT)

Reina Gonzalez, JD
Committee Chair

Steven Roth
Steven Roth (Apr 7, 2025 11:03 CDT)

Steve Roth, JD, MPH
Assistant Secretary