Minutes of the Governance, Compliance and Ethics Committee meeting of the Board of Managers of the Dallas County Hospital District, held Wednesday, August 6th, 2025, scheduled for 12:30 p.m. in the WISH Building Executive Conference Room (02-175) at Parkland Health and via WebEx. These minutes do not constitute a certified agenda from the meeting.

I. Attendance and Call to Order

Committee Chair Reina Gonzalez, JD, noted that a quorum was physically present and called the meeting to order at 12:32 p.m. Committee members; Byron Cryer, MD, Yasmin Simon, JD (via Webex), and Ramon Miguez, PE attended in-person.

Board Member Attendees Included: Vincent Hall, Elizabeth Palacios and Marjorie Petty, JD.

Staff Present (via Webex and in-person) included:

Anika Dachiraju, Governance Intern
Donna Richardson, EVP & CNE
Felicia Miller, EVP & Chief Talent Officer
Jessica Hernandez, SVP & Chief DEI Officer
Mary Lea Holmes, VP & Compliance Oversight
Melanie Roberts, SVP/Chief Compliance & Ethics Officer
Michelle Ng, Administrative Resident
Morgan Simmons, Governance Program Coordinator
Roshan Thayil, Sr. Director Compliance Programs
Shana Garrett, Director Revenue Cycle Comp
Shari Singleton, Dir., Compliance Investigations
Saul Cordero, SVP & Chief Governance & Strategy Officer
Steve Roth, EVP & Chief Legal Officer
Tara DeAndrea, Dir., Compliance program Ops

II. Mission Statement

Committee Chair began the meeting by stating the mission statement:
Advance Wellness | Relieve Suffering | Develop and Educate

III. Public Comments Regarding Agenda Items

No members of the public registered for comments regarding the agenda items.

IV. Conflicts of Interest

After review of the agenda, the Chair noted zero conflicts of interest for Board Members present.

V. Reports requiring Deliberation(s) or Possible Action

A. Presentation of the Fiscal Year 2026 Compliance and Ethics Department Budget

Melanie Roberts presented the fiscal year 2026 Compliance and Ethics Department budget. Melanie reminded the Board that this is important to show annually to demonstrate the Board's commitment to the resources, funding and personnel matters within the department. This upcoming fiscal year portrays slight changes due to market adjustments and vendor contract increases as well.

Reina Gonzalez asked for a motion to recommend the FY26 Budget for approval at the full Board. Ramon Miguez motioned. Byron Cryer seconded the motion. The motion carried unanimously.

B. Presentation of the 2025 Compliance and Ethics Program Auditing Assessment by Forvis-Mazar

Melanie introduced W. Charles Johnson of Forvis Mazars Internal Audit and Enterprise Risk Management. Mr. Johnson presented preliminary findings from a consulting engagement focused on evaluating Parkland's compliance function. The review assessed structure, staffing, audit coordination, and potential overlaps with other assurance groups. Mr. Johnson emphasized that Parkland's compliance function is well-structured, effectively led, and aligned with healthcare industry standards. Benchmarking showed the team is slightly above average in size, with strong coordination across departments. While no major issues were found, minor recommendations were made to enhance role clarity and work plan alignment. Mr. Johnson noted that this review was not a full effectiveness audit but a targeted assessment, and feedback from leadership and documentation supported the positive evaluation.

VI. Executive Session

At 12:42 p.m., the Committee went into executive session to discuss the items on the Executive Session agenda.

Members of management were excused from the discussion of topics as necessary.

A. Receive Report from Chief Compliance and Ethics Officer regarding the 2025 Compliance and Ethics Program Auditing Assessment Conducted by Forvis Mazars
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occ. Code §160.007)

B. Receive Report from Chief Compliance and Ethics Officer regarding the 2025 Compliance and Ethics Program Functions Assessment Conducted by Ankura
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occ. Code §160.007)

C. Review and Potential Discussion on the Following Compliance and Ethics Reports
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)
   i. FY25 Compliance Risk Assessment & Enterprise Risk Management Survey
   ii. Compliance Committee Structure Revisions
   iii. FY26 Compliance Work Plan Focus Areas

D. Institutional Ethics Committee Report
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)

E. Attorney-Client Privileged & Confidential
Review and Discussion of Quarterly Report of Open Compliance Reviews and Audit Reports
(Tex. Gov't Code §551.071)

F. Consultation with Attorney Regarding Pending Litigation Pertaining to a Retaliation Claim
(Tex. Gov't Code §551.071)

G. Discussion Regarding Report and/or Information Provided by the Chief Compliance & Ethics Officer and Related Items on this Agenda
(Tex. Health & Safety Code §§161.032 & 161.0315 and Tex. Occup. Code §160.007)

Committee Chair Reina Gonzalez, JD, adjourned the Executive Session and moved into Open Session at 2:05 p.m.

VII. Adjourn

With no further business to discuss, Committee Chair Reina Gonzalez, JD, adjourned the meeting at 2:05 p.m.

SO RECORDED:

Reina Gonzalez
Reina Gonzalez (Sep 30, 2025 16:01:43 CDT)

Reina Gonzalez, JD
Committee Chair

Steven Roth (Oct 1, 2025 14:22:00 CDT)

Steve Roth, JD, MPH
Assistant Secretary